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DOCKET NO.: J&J 1825

PATENT

#11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Kutchan et al.

Application No.: 09/937,665

Filing Date: March 24, 2000

For: Codeinone Reductase From Alkaloid Poppy

Confirmation No.: 3757

Group Art Unit:

Examiner:

DATE OF DEPOSIT:

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: John W. Harbour  
REGISTRATION NO.: 31,365

Mail Stop Sequence  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

In response to the "Notification of Defective Response" dated April 2, 2002, a response to which was due May 2, 2002, enclosed herewith is:

- ☒ Statement to Support Filing and Submission in Accordance with 37 CFR §§1.821 through 1.825;
- ☒ Substitute pages 1-12 of the Sequence Listing;
- ☒ Amendment directing entry of the Sequence Listing into the specification;
- ☒ Substitute copy of the computer readable form of amended Sequence Listing;
- ☒ Petition For Revival Of An Application For Patent Abandoned Unintentionally Under 37 CFR § 1.137(B)

DOCKET NO.: J&J 1825

- 2 -

PATENT



The Commission is hereby authorized to charge payment of any fee associated with this communication to Deposit Account No. 10-0750/J&J1825/JWH. This sheet is attached in duplicate.

Date:

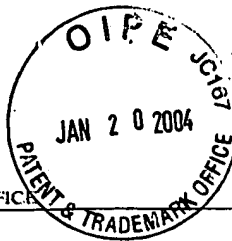
 1/15/04

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UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
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U.S. APPLICATION NUMBER NO. 09/937,665	FIRST NAMED APPLICANT Toni M. Kutchan	ATTY. DOCKET NO. J & J-1825
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INTERNATIONAL APPLICATION NO. PCT/AU00/00249	
I.A. FILING DATE 03/24/2000	PRIORITY DATE 03/26/1999

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**RECEIVED****APR 08 2002****J & J PAT. DKT. SECTION****CONFIRMATION NO. 3757****371 FORMALITIES LETTER**

\*OC000000007755140\*

Date Mailed: 04/02/2002

**NOTIFICATION OF DEFECTIVE RESPONSE**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

*Response Due*  
*5/12/02 F*

*5/12/03*

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the

computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

- See attached Raw Sequence Listing Error Report.
  - APPLICANT MUST PROVIDE:
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
- For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)
- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/937,665	PCT/AU00/00249	J & J-1825